

mercaptoalkyl, alkenyloxy, mercaptoalkenyl, aryloxy, mercaptoaryl, arylalkyloxy, mercaptoarylalkyl, SC(O)R₆, OS(O)R₆, OS(O)₂R₆, NHC(O)R₆ = NR₄ or NHR₄;

R₄ is OH, alkyl, alkoxy, poly(ethylene glycol), alkenyl, aryl or arylalkyl; and

wherein each constituent can be substituted or unsubstituted, straight chain or branched chain, and hydrophobic, hydrophilic or fluorophilic;

provided that:

when R₆ is propyl, R₂ is Br, R₃ is H or Br and R₉ is Br, then Z is other than H, OC(O)CH₃ or OH;

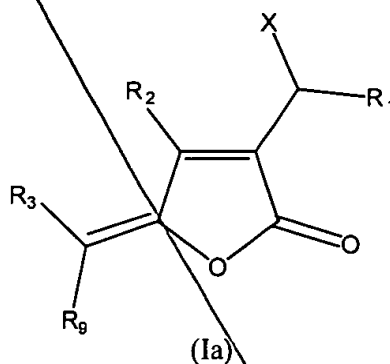
when R₆ is propyl, R₂ is Br, R₃ is H and R is I, then Z is other than OC(O)CH₃ or OH;

when R₆ is propyl, R₂ is Br, R₃ is H and R is Cl, then Z is other than OH;

when R₆ is propyl, R₂ is H, R₃ and R are Br, then Z is other than H; and

when R₆ is propyl, R₂ is Br, R₉ is Cl and Z is H, then R₃ is other than Cl.

2. (twice amended) A compound according to formula (Ia):



wherein R₁ is hydrogen, alkyl, alkoxy, oxoalkyl, alkenyl, aryl or arylalkyl;

X is a halogen, OH, OOH, OC(O)R₁ or =O;

R₂ and R₃ are independently or both hydrogen or halogen;

R₉ is halogen; and

wherein each constituent can be substituted or unsubstituted, straight chain or branched chain, and hydrophobic, hydrophilic or fluorophilic;

provided that:

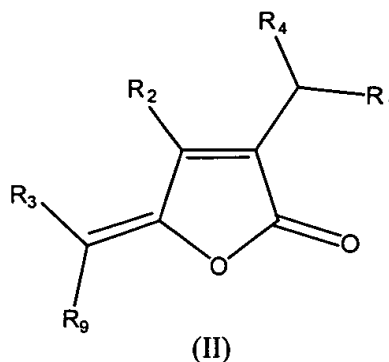
when R₁ is propyl, R₂ is Br, R₃ is H or Br and R₉ is Br, then X is other than OC(O)CH₃ or OH;

when R₁ is propyl, R₂ is Br, R₃ is H and R₉ is I, then X is other than OC(O)CH₃ or OH;

and

when R_1 is propyl, R_2 is Br, R_3 is H, R_9 is Cl, then X is other than OH.

3. (twice amended) A compound according to formula (II):



wherein R_1 is hydrogen, [unsubstituted or substituted, straight chain or branched chain, hydrophobic, hydrophilic or fluorophilic] alkyl, alkoxy, oxoalkyl, alkenyl, aryl or arylalkyl;

R_2 and R_3 are independently or both hydrogen or halogen;

R_9 is halogen;

R_4 is selected from halogen, amine, azide, hydroxyl, thiol, or hydrophobic, hydrophilic or fluorophilic alkyl, alkoxy, mercaptoalkylalkenyloxy, mercaptoalkenyl, aryloxy, mercaptoaryl, arylalkyloxy, mercaptoarylalkyl, $OC(O)R_1$, $SC(O)R_1$, $OS(O)R_1$, $OS(O)_2R_1$, $NHC(O)R_1$, $OC(O)NHR_1$, or $=O$; and

wherein each constituent can be substituted or unsubstituted, straight chain or branched chain, and hydrophobic, hydrophilic or fluorophilic;

provided that:

when R_4 is propyl, R_2 is Br, R_3 is H or Br, and R is Br, then R_1 is other than H, $OC(O)CH_3$ or OH;

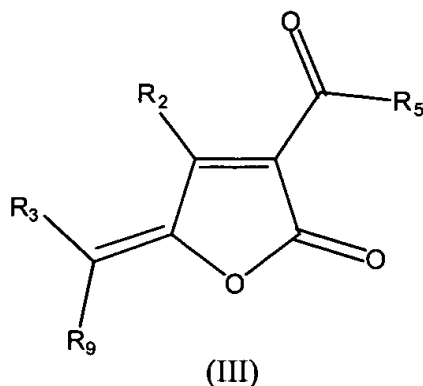
when R_4 is propyl, R_2 is Br, R_3 is H, R_9 is I, then R_1 is other than $OC(O)CH_3$, or OH;

when R_4 is propyl, R_2 is Br, R_3 is H, R_9 is Cl, then R_1 is other than OH;

when R_4 is propyl, R_2 is H, R_3 and R_9 are Br, then R_1 is other than H; and

when R_4 is propyl, R_2 is Br, R_3 and R_9 are Cl, then R_1 is other than H.

4. (twice amended) A compound according to formula (III):



wherein R₂ and R₃ are independently or both hydrogen or halogen;

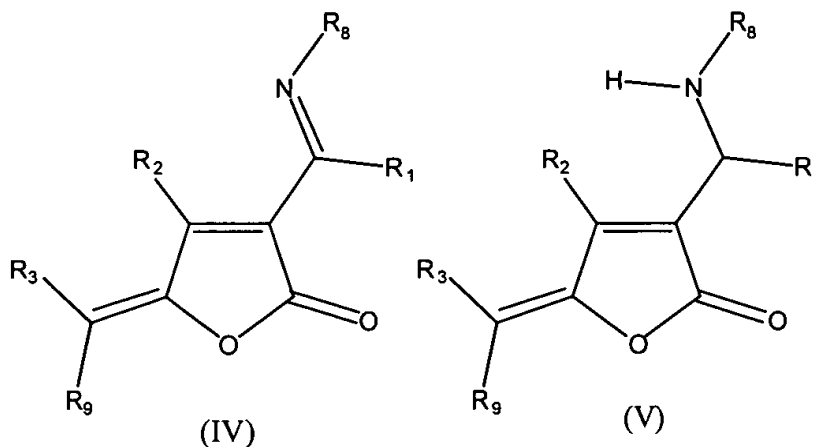
R₅ is OH or the same as R₁;

R₉ is halogen;

R₁ is hydrogen, alkyl, alkoxy, oxoalkyl, alkenyl, aryl or arylalkyl; and

wherein each constituent can be substituted or unsubstituted, straight chain or branched chain, and hydrophobic, hydrophilic or fluorophilic;

5. (twice amended) A compound according to formula (IV) or (V):



wherein R₁ is hydrogen, alkyl, alkoxy, oxoalkyl, alkenyl, aryl or arylalkyl;

R₂ and R₃ are independently or both hydrogen or halogen;

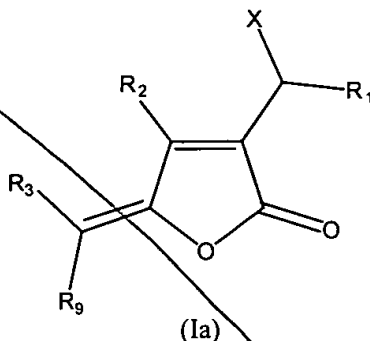
R₉ is halogen;

R₈ is OH, NHR₁, NHC(X)NH₂, NHC(X)NHR₁ or R₁ where X is O, S or NR₁; and

wherein each constituent can be substituted or unsubstituted, straight chain or branched chain, and hydrophobic, hydrophilic or fluorophilic.

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Contd

6. (twice amended) A method for forming a compound of formula (Ia), comprising reacting a fimbrolide with a halogenating agent and/or an oxygenating agent to form the compound of formula (Ia):



wherein R₁ is hydrogen, alkyl, alkoxy, oxoalkyl, alkenyl, aryl or arylalkyl;

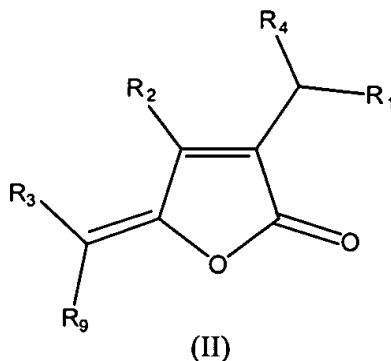
X is a halogen, OH, OOH, OC(O)R₁ or =O;

R₂ and R₃ are independently or both hydrogen or halogen; and

R₉ is halogen.

C²

9. (twice amended) A method for forming a compound of formula II, comprising displacing and/or functionalizing a halogen or oxygen substituent in the side chain of a fimbrolide compound by treating the fimbrolide compound with a nucleophile or an electrophile to form the compound of formula (II):



wherein R₁ is hydrogen, alkyl, alkoxy, oxoalkyl, alkenyl, aryl or arylalkyl;

R₂ and R₃ are independently or both hydrogen or halogen;

R₉ is halogen; and

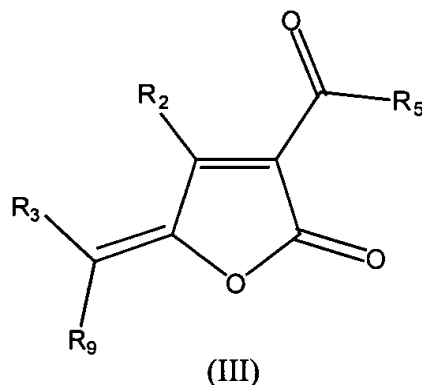
R₄ is selected from halogen, amine, azide, hydroxyl, thiol, alkyl, alkoxy, mercaptoalkyl, alkenyloxy, mercaptoalkenyl, aryloxy, mercaptoaryl, arylalkyloxy, mercaptoarylalkyl, OC(O)R₁, SC(O)R₁, OS(O)R₁, OS(O)₂R₁, NHC(O)R₁, OC(O)NHR₁, or =O;

C2
contd.

wherein each constituent can be substituted or unsubstituted, straight chain or branched chain, and hydrophobic, hydrophilic or fluorophilic;
provided that when R_4 is propyl, R_2 is Br, R_3 and R_9 are Cl, then R_1 is other than H.

C3

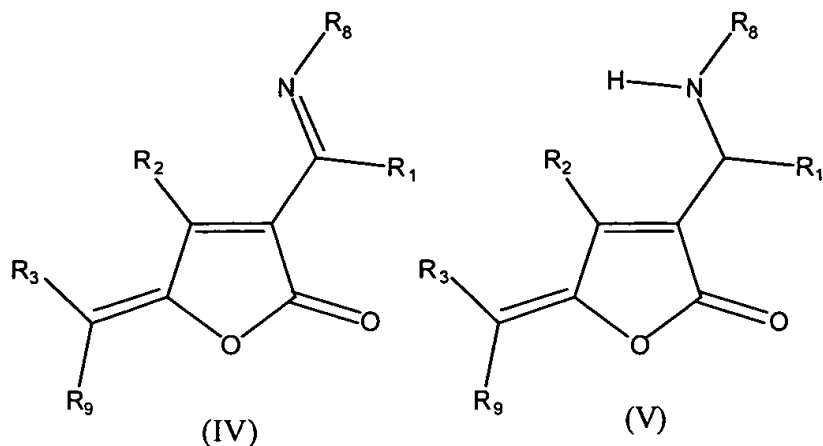
12. (twice amended) A method for forming a compound of formula (III), comprising reacting an hydroxyl substituent in the side chain of a fimbrolide with an oxidising agent to form the compound in accordance with formula (III):



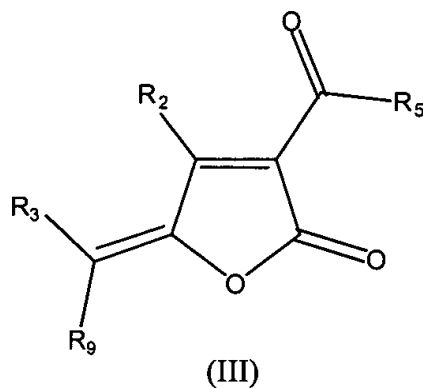
wherein R_2 and R_3 are independently or both hydrogen or halogen;
 R_5 is OH or the same as R_1 ;
 R_9 is halogen;
 R_1 is hydrogen, alkyl, alkoxy, oxoalkyl, alkenyl, aryl or arylalkyl; and
wherein each constituent can be substituted or unsubstituted, straight chain or branched chain, and hydrophobic, hydrophilic or fluorophilic.

C4

15. (twice amended) A method for forming a compound of formula (IV) or (V), comprising reacting an aldehyde or ketone substituent in the side chain $-C(O)R_5$ of compound (III) with an amine to form a compound of formula (IV) or (V),
wherein formula (IV) and (V) are represented by:

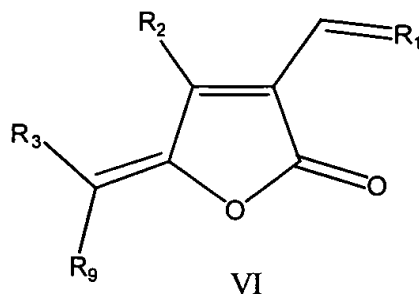


wherein R_1 is hydrogen, alkyl, alkoxy, oxoalkyl, alkenyl, aryl or arylalkyl;
 R_2 and R_3 are independently or both hydrogen or halogen;
 R_9 is halogen;
 R_8 is OH, NHR_1 , $NHC(X)NH_2$, $NHC(X)NHR_1$ or R_1 where X is O, S or NR_1 ; and
 wherein each constituent can be substituted or unsubstituted, straight chain or branched chain, and hydrophobic, hydrophilic or fluorophilic;
 and wherein formula (III) is represented by:



wherein R_2 and R_3 are independently or both hydrogen or halogen;
 R_5 is OH or the same as R_1 ; and
 R_9 is halogen.

25. (twice amended) A compound of formula (VI):



wherein R₁ is alkyl, alkoxy, oxoalkyl, alkenyl, aryl or arylalkyl;
R₂ and R₃ are independently or both hydrogen or halogen;
R₉ is halogen; and
wherein each constituent can be substituted or unsubstituted, straight chain or branched chain, and hydrophobic, hydrophilic or fluorophilic.